

| User Selection Criteria |
|--|
| <div><div>Location: NEW YORK</div><div>Handler ID: NYD981140056</div><div>Evaluation Date Range: From: 10/01/1990 To: 01/28/2021</div></div> |
| Report Results |
| <div><div>Number of Handlers: 1</div><div>Number of Pages: 4</div></div> |
| Report Description |
| <p>This report provides a complete listing of evaluation, violation and enforcement activities for each handler, including all orphan records. Below the handler id information, the data is presented in three sections; evaluations, violations and enforcements. Notes are provided in each respective section.</p> |

Comprehensive Compliance Monitoring and Enforcement Report

NYCT MASPETH - STOREROOM 55

County QUEENS / NY081

NYD981140056

Location 55-04 MASPETH AVE, MASPETH, NY 11378

Region: 02

Latitud 40.723083 **Longitude** -73.911769

Mailing: 2 BROADWAY, 27TH FLOOR, A27.63, NEW YORK, NY 10004

| Activity | NY | State District: NYSDEC R2 | Accessibility | Non- | Extract Flag: Y | Active Site: Y |
|-----------------------|-------|---------------------------|-----------------------------|---------------------------|-------------------------|----------------|
| Generator: | LQG | Operating TSDF: ----- | Offsite Receiver: N | State Unaddressed SNC: N | EPA Unaddressed SNC: N | |
| Short Term Generator: | N | Transporter: N | IC in Place: N | State Addressed SNC: N | EPA Addressed SNC: N | |
| Full Enforcement: | ----- | Transfer Facility: N | EI Indicator (HE/GW): N / N | State SNC w/Comp Sched: N | EPA SNC w/Comp Sched: N | |
| CA Wrkld: | N | Converter: ----- | HSM: NN | | | |
| Active State Gen: | Y | State TSDF: ----- | Subpart K: ---- | | | |

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|-----------------------|------------|--------------------|----|------------------------|-------|-------------|-----|-----------------|-------|------------------|------------|------------------|-----|
| CEI Evaluation | 02/07/2017 | Activity Location: | NY | By: | STATE | Identifier: | 001 | Person: | NYCMK | Suborganization: | R2 | Found Violation: | YES |
| NOC Date: | | Citizen Complaint: | NO | Multimedia Inspection: | NO | Sampling: | NO | Not Subtitle C: | NO | Day Zero: | 02/07/2017 | Focus Area: | |

Violation Activity Location: NY Type: 261.A Determined Date: 02/07/2017 Determined By Agency: STATE Responsible Agency: STATE
 Scheduled Compliance Date: Actual Compliance Date: 05/16/2017 RTC Qualifier: UNVERIFIABLE Sequence Number: 5

| Citation | Seq # | Type | Citation | Notes |
|----------|-------|------|----------------|-------|
| | 1 | SR | 371.1(f)(7)(i) | |

| | | | | |
|-----------------|-----------------------|---------------------|---------------------------|---------------------|
| Enforcement: | Activity Location: NY | Type: 120 | Action Date: 04/07/2017 | Identifier: 001 |
| | Docket: | Agency: STATE | Responsible Person: NYCMK | Suborganization: R2 |
| CA Component: N | FA Requirement: | Disposition Status: | Appeal Initiated: | Appeal Resolved: |

Violation Activity Location: NY Type: 273.B Determined Date: 02/07/2017 Determined By Agency: STATE Responsible Agency: STATE
 Scheduled Compliance Date: Actual Compliance Date: 05/12/2017 RTC Qualifier: UNVERIFIABLE Sequence Number: 6

| Citation | Seq # | Type | Citation | Notes |
|----------|-------|------|---------------|-------|
| | 2 | SR | 374-3.2(d)(4) | |

Viol. Notes: large volume of unused lamps not managed as good material to be used/reused

| | | | | |
|-----------------|-----------------------|---------------------|---------------------------|---------------------|
| Enforcement: | Activity Location: NY | Type: 120 | Action Date: 04/07/2017 | Identifier: 001 |
| | Docket: | Agency: STATE | Responsible Person: NYCMK | Suborganization: R2 |
| CA Component: N | FA Requirement: | Disposition Status: | Appeal Initiated: | Appeal Resolved: |

Violation Activity Location: NY Type: 273.B Determined Date: 02/07/2017 Determined By Agency: STATE Responsible Agency: STATE
 Scheduled Compliance Date: Actual Compliance Date: 03/23/2017 RTC Qualifier: DOCUMENTED Sequence Number: 7

| Citation | Seq # | Type | Citation | Notes |
|----------|-------|------|---------------|-------|
| | 3 | SR | 374-3.2(d)(4) | |

Viol. Notes: 1 box of 8-ft spent fluorescent lamps in the REJ Annex.

Note: Penalty information associated with an enforcement action is reported with the enforcement action. For enforcement actions associated with multiple violations, the penalty information will be reported with each violation, however, the penalty is only assessed once, regardless of the number of violations associated with the enforcement.

NYCT MASPETH - STOREROOM 55 - continued

NYD981140056

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|-----------------|-----------------------|---------------------|---------------------------|---------------------|
| Enforcement: | Activity Location: NY | Type: 120 | Action Date: 04/07/2017 | Identifier: 001 |
| | Docket: | Agency: STATE | Responsible Person: NYCMK | Suborganization: R2 |
| CA Component: N | FA Requirement: | Disposition Status: | Appeal Initiated: | Appeal Resolved: |

| | | | | | |
|------------------|----------------------------|-------------|------------------------------------|-----------------------------|---------------------------|
| Violation | Activity Location: NY | Type: 273.B | Determined Date: 02/07/2017 | Determined By Agency: STATE | Responsible Agency: STATE |
| | Scheduled Compliance Date: | | Actual Compliance Date: 02/07/2017 | RTC Qualifier: OBSERVED | Sequence Number: 8 |
| | Citation | Seq # | Type | Citation | Notes |
| | | 4 | SR | 374-3.2(d)(4) | |

Viol. Notes: 374-3.2(d)(4)(ii): broken lamps

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|-----------------|-----------------------|---------------------|---------------------------|---------------------|
| Enforcement: | Activity Location: NY | Type: 120 | Action Date: 04/07/2017 | Identifier: 001 |
| | Docket: | Agency: STATE | Responsible Person: NYCMK | Suborganization: R2 |
| CA Component: N | FA Requirement: | Disposition Status: | Appeal Initiated: | Appeal Resolved: |

| | | | | | |
|------------------|----------------------------|-------------|------------------------------------|-----------------------------|---------------------------|
| Violation | Activity Location: NY | Type: 273.B | Determined Date: 02/07/2017 | Determined By Agency: STATE | Responsible Agency: STATE |
| | Scheduled Compliance Date: | | Actual Compliance Date: 02/23/2017 | RTC Qualifier: DOCUMENTED | Sequence Number: 9 |
| | Citation | Seq # | Type | Citation | Notes |
| | | 5 | SR | 374-3.2(e) | |

Viol. Notes: spent fluorescent lamps

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|-----------------|-----------------------|---------------------|---------------------------|---------------------|
| Enforcement: | Activity Location: NY | Type: 120 | Action Date: 04/07/2017 | Identifier: 001 |
| | Docket: | Agency: STATE | Responsible Person: NYCMK | Suborganization: R2 |
| CA Component: N | FA Requirement: | Disposition Status: | Appeal Initiated: | Appeal Resolved: |

| | | | | | |
|------------------|----------------------------|-------------|------------------------------------|-----------------------------|---------------------------|
| Violation | Activity Location: NY | Type: 273.B | Determined Date: 02/07/2017 | Determined By Agency: STATE | Responsible Agency: STATE |
| | Scheduled Compliance Date: | | Actual Compliance Date: 05/12/2017 | RTC Qualifier: UNVERIFIABLE | Sequence Number: 10 |
| | Citation | Seq # | Type | Citation | Notes |
| | | 6 | SR | 374-3.2(e) | |

Viol. Notes: stacks of 4-ft boxes of unused fluorescent lamps not managed as material to be used/reused

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|-----------------|-----------------------|---------------------|---------------------------|---------------------|
| Enforcement: | Activity Location: NY | Type: 120 | Action Date: 04/07/2017 | Identifier: 001 |
| | Docket: | Agency: STATE | Responsible Person: NYCMK | Suborganization: R2 |
| CA Component: N | FA Requirement: | Disposition Status: | Appeal Initiated: | Appeal Resolved: |

| | | | | | |
|------------------|----------------------------|-------------|------------------------------------|-----------------------------|---------------------------|
| Violation | Activity Location: NY | Type: 273.B | Determined Date: 02/07/2017 | Determined By Agency: STATE | Responsible Agency: STATE |
| | Scheduled Compliance Date: | | Actual Compliance Date: 02/07/2017 | RTC Qualifier: OBSERVED | Sequence Number: 11 |
| | Citation | Seq # | Type | Citation | Notes |
| | | 7 | SR | 374-3.2(f) | |

Viol. Notes: spent fluorescent lamps

Note: Penalty information associated with an enforcement action is reported with the enforcement action. For enforcement actions associated with multiple violations, the penalty information will be reported with each violation, however, the penalty is only assessed once, regardless of the number of violations associated with the enforcement.

NYCT MASPETH - STOREROOM 55 - continued NYD981140056

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|-----------------|-----------------------|---------------------|---------------------------|---------------------|
| Enforcement: | Activity Location: NY | Type: 120 | Action Date: 04/07/2017 | Identifier: 001 |
| | Docket: | Agency: STATE | Responsible Person: NYCMK | Suborganization: R2 |
| CA Component: N | FA Requirement: | Disposition Status: | Appeal Initiated: | Appeal Resolved: |